

03-12-01

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## EXPRESS MAIL CERTIFICATE

Hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Typed or Printed Name	Margaret D. Pierce	Express Mail No.	EL 563 387 868 US
Signature	<i>M. Pierce</i>	Date	March 9, 2001

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

CUSTOMER NO. 24353

Docket No. 06514-090US1

First Named Inventor Tan et al.

Title: "METHOD FOR IDENTIFICATION OF CDNAS ENCODING SIGNAL PEPTIDES"

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Address to: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form *in duplicate*
2. ☒ Specification Total Pages 29  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) Total Sheets 2
4. ☒ Oath or Declaration Total Sheets 3
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d)  
(for continuation/divisional with Box 16 completed)
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)
  - c. ☒ Unsigned

5. ☐ Microfiche Computer Program (*Appendix*)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

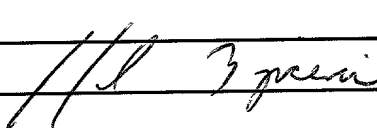
## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 34 Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ Small Entity ☐ Statement filed in prior application  
Statement(s) Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☒ Other: Application Title Page

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new non-provisional applications under 37 CFR 1.53(b))

17. CORRESPONDENCE ADDRESS			
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SIGNATURE of Applicant or Assignee of Record	
Individual Name	Karl Bozicevic
Registration No.	28,807
Signature	
Date	March 9, 2001

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*Note: Effective October 1, 1998.  
Patent fees are subject to annual revision.*

<b>CUSTOMER NO.</b>	<b>24353</b>
<b>Attorney Docket Number</b>	06514-090US1
First Named Inventor	Tan et al.
Application Number	Unassigned
Filing Date	Herewith (March 9, 2001)
Group Art Unit	Unassigned
Examiner Name	Unassigned

1.      A Check Is Enclosed  
2. X The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to: Deposit Account No. 50-0815.  
Deposit Account Name: Bozicevic, Field & Francis LLP

## 1. FILING FEE

<u>Large Fee Code</u>	<u>Entity Fee (\$)</u>	<u>Small Fee Code</u>	<u>Entity Fee (\$)</u>	<u>Fee Description</u>	<u>Fee Due</u>
101	710	201	355	Utility filing fee	\$710.00
102	320	206	160	Design filing fee	
104	490	207	245	Plant filing fee	
109	710	208	355	Reissue filing fee	
110	150	214	75	Provisional filing fee	
				Subtotal (1)	\$710.00

<u>No. of claims as filed or after amendment</u>		<u>Most claims previously paid</u>		<u>Extra claims</u>		<u>Fee from below</u>		<u>Fee Due</u>
Total claims	26	-	=	6	x	\$18.00	=	\$108.00
Ind. claims	9	-	=	6	x	\$80.00	=	\$480.00
Multiple Dependent claims					x		=	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of and over original patent
				Subtotal (2)\$588.00

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification		147	2,520	147	2,520	Filing a request for reexamination	
115	110	215	55	Ext. for reply within first month		116	390	216	195	Ext. for reply within second month	
117	890	217	445	Ext. for reply within third month		118	1,390	218	695	Ext. for reply within fourth month	
128	1,890	228	945	Ext. for reply within fifth month		119	310	219	155	Notice of Appeal	
120	310	220	155	Filing brief in support of appeal		121	270	221	135	Request for oral hearing	
140	110	240	55	Petition to revive - unavoidable		141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)		122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to prov. appl.		126	180	126	180	Submission of IDS	
146	710	246	355	Filing submission after final rejection (37 CFR 1.129(a))		149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
143	440	243	220	Design issue fee		144	600	244	300	Plant issue fee	
581	40	581	40	Recording patent assignment		Other fee (specify)					

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)\$0.00

**TOTAL AMOUNT TO BE CHARGED (\$)**1,298.00

<b>SUBMITTED BY</b>				<b>Complete (if applicable)</b>	
Typed or Printed Name		Karl Bozicevic, BOZICEVIC, FIELD & FRANCIS LLP		Reg. Number	28,807
Signature		Date	March 9, 2001	Deposit Account	50-0815